

Airlie Australian Share Fund Application Form | New Investors

Issued by Magellan Asset Management Limited (Responsible Entity)

ABN 31 120 593 946, AFS Licence No. 304 301

Dated 22 May 2018

Airlie Australian Share Fund

ARSN 623 378 487, APIR Code MGE9705AU

1. Introduction

Existing investors, please complete the Additional Application Form.

1. A) Name of Investor

Account name:

1. B) Type of Investor

Please indicate what type of Investor you are. **(ALL APPLICANTS MUST COMPLETE SECTION 6)**

Type of Investor	Sections to complete	Page Number/s
Individual(s) Including where investing jointly or as a trustee(s) for another person	2 and 6	Pages 2 to 4, 16 to 20
Company	3 and 6	Pages 4 to 8, 16 to 20
Trust / Superannuation Fund	4 and 6	Pages 9 to 12, 16 to 20
Individual Trustee	2, 4 and 6	Pages 2 to 4, 9 to 12, 16 to 20
Company Trustee	3, 4 and 6	Pages 4 to 8, 9 to 12, 16 to 20
Partnership	5 and 6	Pages 13 to 15, 16 to 20

If the above categories do not apply to you, please contact our Unit Registry Office, Link Fund Solutions on 1800 236 994 (Australia) or +61 1800 236 994 (outside of Australia)

Section 2. Individual(s) / Individual Trustee(s)

Complete this section if you are an Individual(s), Individual Trustee(s) or Sole Trader.

The AML/CTF documentation required for processing this application is outlined on pages 3 and 4.

How are you investing?

In my name only (Section 2.A)	Jointly with other individual(s) (Section 2.A & 2.B)	As a Sole Trader (Section 2.A & 2.C)	As an Individual Trustee(s) for a trust (Section 2.A, 2B & 2.D & Section 4)
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If there are additional directors, please provide details as an attachment.

2. A) Individual 1

Title	Given name(s)	Surname	
Date of birth (DD/MM/YYYY)		Country of birth	
Street number & name			
Suburb	State	Postcode	Country
Occupation			

Tax Information:

1. Are you a tax resident of any country outside of Australia? Yes (if yes, please answer questions 2 & 3) No (if no, please provide your TFN below)	2. Have you completed an Individual CRS Self Certification Form? Yes No
Australian Tax File Number (TFN) or Exemption Reason	Note: All individuals with a foreign tax residency are required to complete the CRS form. This does not apply to Individual Trustees.
3. Do you have a Global Intermediary Identification Number (GIIN) / US Tax Payer Identification number (TIN)? Yes (if yes, please provide) No	GIIN / TIN Number

2. B) Individual 2

Title	Given name(s)	Surname	
Date of birth (DD/MM/YYYY)		Country of birth	
Street number & name			
Suburb	State	Postcode	Country
Occupation			

Tax Information:

1. Are you a tax resident of any country outside Australia?

Yes (if yes, please answer questions 2 & 3)

No (if no, please provide your TFN below)

Australian Tax File Number (TFN) or Exemption Reason

2. Have you completed an Individual CRS Self Certification Form?

Yes

No

Note: All individuals with a foreign tax residency are required to complete the CRS form. This does not apply to Individual Trustees.

3. Do you have a Global Intermediary Identification Number (GIIN) / US Tax Payer Identification number (TIN)?

Yes (if yes, please provide)

GIIN / TIN Number

No

2. C) Sole Trader (if applicable)

Business name

ABN

Tax Information:

1. Are you a tax resident of any country outside of Australia?

Yes (if yes, please answer questions 2 & 3)

No (if no, please provide your TFN)

Australian Tax File Number (TFN) or Exemption Reason

2. Have you completed an Individual CRS Self Certification Form?

Yes

No

Note: All individuals with a foreign tax residency are required to complete the CRS form. This does not apply to Individual Trustees.

3. Do you have a Global Intermediary Identification Number (GIIN) / US Tax Payer Identification Number (TIN)?

Yes (if yes, please provide)

GIIN / TIN Number

No

2. D) Account designation (if applicable)

If making this investment as an Individual Trustee(s) on behalf of another person(s), please provide that person(s) name as an account designation.

Identification Documentation - Individuals:

The 'Anti-Money Laundering and Counter Terrorism Financing (AML/CTF)' legislation obliges us to collect identification documents and other supporting information from our investors - refer to AML/CTF in Section 9 of the Additional Information Booklet that forms part of the PDS.

The AML/CTF documentation required for processing this Application Form is outlined below and on page 4.

You must attach the following **CERTIFIED** copies of documents to this Application Form:

Please provide documents from Option 1 OR Option 2a and 2b.

Option 1:

Please indicate which **one** you are providing:

An Australian driver's licence containing a photograph of the person

An Australian passport

An identification card issued by a state or territory that contains the date of birth and a photograph of the card holder

A foreign government issued passport or similar travel document containing a photograph and signature of the person

NB: If you cannot provide a document listed above, please provide a document from Option 2a and 2b on page 4.

Option 2a:

Please indicate which **one** you are providing:

- An Australian birth certificate
- An Australian citizenship certificate
- A pension card issued by Centrelink
- A foreign drivers' licence that contains a photograph of the person

AND**Option 2b:**

Please indicate which **one** you are providing:

- A notice issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits and contains the individual's name and residential address
- A notice issued by the Australian Taxation Office within the preceding 12 months that records a debt payable to or by the person by or to the Commonwealth
- A notice issued by local government body or utilities provider within the preceding 3 months that records the provision of services to that address or to that person (the notice must contain the individual's name and residential address).
- A National Identity Card issued by a foreign government that contains a photograph signature.

Individual Acting in the Capacity of a Sole Trader

- A business name registration certificate; and
- Documents required for an individual (As in Option 1 or Option 2a, or Option 2b above)

3. Company / Corporate Trustee

Complete this section if you are a Company, or a Company acting as a Trustee for a Trust/Fund.

The AML/CTF documentation required for the processing of this Application Form is outlined on pages 7 and 8.

Public Company (Section 3.A)	Australian Proprietary Company (licensed & regulated)* (Sections 3.A & 3.D)	Australian Proprietary Company (unlicensed & unregulated) (Sections 3.A, 3.D, 3.E & 3.F)
Foreign Public Company (Sections 3.A, 3.C & 3.D)	Foreign Proprietary Company** (Sections 3.A, 3.C, 3.D, 3.E & 3.F)	

3. A) Company details

Company name (in full)

Contact name (at Company)

ACN / ABN (if registered in Australia)

Registered address

Suburb

State

Postcode

Country

Business activity

* Australian companies licensed and subject to Commonwealth, State or Territory regulatory oversight in relation to its' activities as a company.

** Registered or unregistered by ASIC or registered by a relevant foreign body

Tax Information:

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) / US Tax Payer Identification (TIN))

Have you completed an Entity CRS Self Certification Form?

Yes No

Note: All companies are required to complete the CRS form. This does not apply to Corporate Trustees.

3. B) Account designation / reference

Corporate margin lenders / nominees / custodians, should provide an account designation / reference

3. C) Foreign companies

Country in which company is incorporated

Identification number issued by the foreign registration body

Name of foreign registration body (if applicable)

3. D) Director information

All Proprietary companies and foreign private companies to provide full name of each director of the Company

If there are additional directors, please provide details as an attachment.

3. E) Beneficial Ownership Information - Australian (unlicensed & unregulated) and Foreign Proprietary Companies

Australian (unlicensed & unregulated) and foreign proprietary companies, please provide details of each Beneficial Owner having more than 25% of the Company's issued share capital:

Beneficial Owner 1:

Title Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Country of Birth

Street Number & Name

Suburb

State

Postcode

Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) / US Tax Payer Identification (TIN))

Beneficial Owner 2:

Title Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Country of birth

Street number & name

Suburb

State

Postcode

Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) /
US Tax Payer Identification (TIN))

Beneficial Owner 3:

Title Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Country of birth

Street number & name

Suburb

State

Postcode

Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) /
US Tax Payer Identification (TIN))

Beneficial Owner 4:

Title Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Country of birth

Street number & name

Suburb

State

Postcode

Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) /
US Tax Payer Identification (TIN))

If there are additional beneficial owners, please provide details as an attachment.

Identification Documentation - Companies:

The 'Anti-Money Laundering and Counter Terrorism Financing (AML/CTF)' legislation obliges us to collect identification documents and other supporting information from our investors - refer to AML/CTF in Section 9 of the Additional Information Booklet that forms part of the PDS.

The AML documentation required for the processing for Section 3 of this Application Form is outlined below.

You must attach the following **CERTIFIED** copies of documents to this Application Form.

Please indicate which **one** you are providing:

Australian Companies:

If a proprietary company:

- An ASIC Company Extract showing the company name, ACN, registered office address, the names and addresses of the directors and shareholders; or
- A certified copy of the company's certificate of registration or incorporation issued by ASIC, and a document setting out the full name and residential address of each director and the full name and residential address of each shareholder who owns, through one or more shareholdings, more than 25% of the company's issued capital.

If a public company:

- An ASIC Company Extract showing the company name, ACN, registered office address, the names and addresses of the directors;

Foreign Companies:

If a private company:

- A Company Extract sourced from the relevant foreign registration body showing the company name, identification number issued by the relevant foreign registration body, registered office address, the names and addresses of the directors and shareholders; or
- A certified copy of the company's certificate of registration or incorporation issued by the relevant foreign registration body, and a document setting out the full name and residential address of each director and the full name and residential address of each shareholder who owns, through one or more shareholdings, more than 25% of the company's issued capital.

If a public company:

- A Company Extract sourced from the relevant foreign registration body showing the company name, identification number issued by the relevant foreign registration body, registered office address, the names and addresses of the directors; or
- A certified copy of the company's certificate of registration or incorporation issued by the relevant foreign registration body.

3. F) Beneficial Ownership Identification Documentation - Australian (unlicensed & unregulated) and Foreign Proprietary Companies

The 'Anti-Money Laundering and Counter Terrorism Financing (AML/CTF)' legislation obliges us to collect identification documents and other supporting information from the beneficial owners of Australian (unlicensed & unregulated) and foreign proprietary companies - refer to AML/CTF in Section 9 of the Additional Information Booklet that forms part of the PDS.

The AML documentation required for the processing for Section 3 of this Application Form is outlined below.

You must attach the following **CERTIFIED** copies of documents to this Application Form.

Please provide documents from Option 1 OR Option 2a and 2b.

Option 1:

Please indicate which **one** you are providing:

- An Australian driver's licence containing a photograph of the person
- An Australian passport
- An identification card issued by a state or territory that contains the date of birth and a photograph of the card holder
- A foreign government issued passport or similar travel document containing a photograph and signature of the person

NB: If you cannot provide a document listed above, please provide a document from Option 2a and 2b below.

Option 2a:

Please indicate which **one** you are providing:

- An Australian birth certificate
- An Australian citizenship certificate
- A pension card issued by Centrelink
- A foreign drivers' licence that contains a photograph of the person

AND

Option 2b:

Please indicate which **one** you are providing:

- A notice issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits and contains the individual's name and residential address
- A notice issued by the Australian Taxation Office within the preceding 12 months that records a debt payable to or by the person by or to the Commonwealth
- A notice issued by local government body or utilities provider within the preceding 3 months that records the provision of services to that address or to that person (the notice must contain the individual's name and residential address).
- A National Identity Card issued by a foreign government that contains a photograph signature.

4. Trust / Superannuation Fund

Complete this section if you are a Trust / Superannuation Fund.

The AML/CTF documentation required for processing this Application Form is outlined on pages 11 and 12.

4. A) Trust / Superannuation Fund details

Trust / Superannuation Fund name (in full)

Business name (if applicable, in full)

ABN (if applicable)

Tax Information:

1. Are you an Australian Retirement Fund (ie a Super Fund or SMSF)?

Yes (if yes, please provide your TFN below)

No

2. Have you completed an Entity CRS Self Certification Form?

Yes (if yes, please provide your TFN below)

No

Note: All trusts are required to complete the CRS form unless you are an Australian Retirement Fund (e.g. SMSFs and other superannuation funds).

Australian Tax File Number (TFN) or Exemption Reason

3. Do you have a Global Intermediary Identification Number (GIIN) / US Tax Payer Number (TIN)?

Yes (if yes, please provide)

GIIN / TIN Number

No

4. B) Type of Trust

Please select the type of Trust and provide the relevant information:

Registered Managed Investment Scheme Unregistered Managed Investment Scheme Foreign Trust/Fund

Australian Regulated Trust/Fund (e.g. self-managed superannuation fund, trusts regulated by APRA, ASIC or the ATO)

Australian Unregulated Trusts (e.g. family trusts, charitable trusts, testamentary trust, other trust not regulated by Commonwealth, state or territory regulator)

Other – please specify:

Please provide the relevant information below:

Country in which trust was established

ARSN / or applicable foreign registration number

Name of regulator (e.g ASIC, ATO) or foreign regulator

Provide name of legislation establishing the trust (Government Superannuation Fund Only)

4. C) Beneficiary details

Do not complete if Registered Managed Investment Scheme, Government Superannuation Fund or Regulated Trust/Fund (SMSF)

Does the Trust Deed name the Beneficiaries?

Yes No - if no, please complete either Section 4.C (i) or 4.C (ii) below.

4.C (i) Provide the full name of each Beneficiary:

If there are additional beneficiaries, please provide details as an attachment.

4.C (ii) Describe the class of beneficiary (e.g. Unit holders, charitable purposes)

4. D) Beneficial Ownership Information - Australian Unregulated Trusts, Foreign Trusts/Funds and unregistered managed investment schemes (with retail investors)

Australian unregulated trusts, foreign trusts / funds, and unregistered managed investment schemes with retail investors please provide details of each individual who owns (directly or indirectly) 25% or more of the trust, or controls* the trust.

Beneficial Owner 1:

Title Given name(s) Surname

Date of birth (DD/MM/YYYY) Country of birth

Street number & name

*Control includes by acting as Trustee, or by means of trusts, agreements, arrangements, understandings and practices; or exercising control through the capacity to direct the Trustees or the ability to appoint or remove the Trustees.

Suburb State Postcode Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) / US Tax Payer Identification (TIN))

Beneficial Owner 2:

Title Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Country of birth

Street number & name

Suburb State Postcode Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) / US Tax Payer Identification (TIN))

Beneficial Owner 3:

Title Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Country of birth

Street number & name

Suburb State Postcode Country

Australian Tax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) / US Tax Payer Identification (TIN))

4. E) Beneficial Ownership Identification Documentation - Australian Unregulated Trusts, Foreign Trusts/Funds and unregistered managed investment schemes with retail investors

The 'Anti-Money Laundering and Counter Terrorism Financing (AML/CTF)' legislation obliges us to collect identification documents and other supporting information from the beneficial owners of Australian unregulated trusts, foreign trusts/funds and unregistered managed investment schemes with retail investors - refer to AML/CTF in Section 9 of the Additional Information Booklet that forms part of the PDS.

The AML documentation required for the processing for Section 4 of this Application Form is outlined below.

You must attach the following **CERTIFIED** copies of documents to this Application Form.

Please provide documents from Option 1 OR Option 2a and 2b.

Option 1:

Please indicate which **one** you are providing:

An Australian driver's licence containing a photograph of the person

An Australian passport

An identification card issued by a state or territory that contains the date of birth and a photograph of the card holder

A foreign government issued passport or similar travel document containing a photograph and signature of the person

NB: If you cannot provide a document listed above, please provide a document from Option 2a and 2b below.

Option 2a:

Please indicate which **one** you are providing:

- An Australian birth certificate
- An Australian citizenship certificate
- A pension card issued by Centrelink
- A foreign drivers' licence that contains a photograph of the person

AND

Option 2b:

Please indicate which **one** you are providing:

- A notice issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits and contains the individual's name and residential address
- A notice issued by the Australian Taxation Office within the preceding 12 months that records a debt payable to or by the person by or to the Commonwealth
- A notice issued by local government body or utilities provider within the preceding 3 months that records the provision of services to that address or to that person (the notice must contain the individual's name and residential address).
- A National Identity Card issued by a foreign government that contains a photograph signature.

4. F) Settlor of Trust

The settlor is the person who made the initial contribution to the trust.

Please select one of the following:

- The settlor is deceased.
- The initial contribution was less than \$10,000.
- If the same as 'Individual 1' in Section 2.A.
- If the same as 'Individual 2' in Section 2.B.
- None of the above: Please provide the name of the settlor below and the identification documentation as per Section 4.E.

Title	Given name(s)	Surname
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4. G) Trustee details – Individuals

Details must be provided for **AT LEAST ONE** of the individuals appointed as Trustee for the Trust/Superannuation Fund.
Please complete **Section 2** of the Application Form.

4. H) Trustee details – Company

Please complete **Section 3** of the form to provide details of the Corporate Trustee for the Trust.

Identification Documentation - Trusts/Superannuation Funds:

The AML/CTF legislation obliges us to collect identification documents and other supporting information from our investors - refer to 'Anti-Money Laundering and Counter Terrorism Financialing (AML/CTF)' in Section 9 of the Additional Information Booklet that forms part of the PDS. The AML documentation required for the processing for **Section 4** of this Application Form is outlined below.

You must attach the following **CERTIFIED** copies of documents to this Application Form

For Registered Managed Investment Schemes or Regulated Trust (SMSF)

A copy of search results from ASIC or Relevant Regulator Website (e.g. Superfund lookup at www.abn.business.gov.au)

For Government Superannuation Fund

A copy of relevant extract of the Legislation establishing the Government Superannuation Fund

For Other Trusts

A letter from a solicitor or qualified accountant that confirms the name of the trust, OR

An original or certified copy of the trust deed or extract or equivalent, OR

A notice issued by the ATO within the last 12 months

Please also provide the following trustee information:

If the trustee is an individual, please provide the identification documentation required for individuals (Section 2)

If the trustee is a company, please provide the identification documentation required for companies (Section 3)

5. Partnership

Complete this section if you are a Partnership.

The AML/CTF documentation required for processing this Application Form is outlined on page 15.

5. A) Partnership details

Partnership name (in full)

Business name (if applicable, in full)

ABN (if applicable)

Country in which Partnership was established

Street number & name

Suburb

State

Postcode

Country

Tax Information:

Australian Fax File Number (TFN) or Exemption Reason

FATCA Certification (Global Intermediary Identification Number (GIIN) / US Tax Payer Identification Number (TIN))

Have you completed an Entity CRS Self Certification Form?

Yes

No

Note: All partnerships are required to complete the CRS form.

5. B) Partner details

AML/CTF legislation requires details of one Partner to be provided and the details of any partner who is a beneficial owner who owns or controls (directly or indirectly) 25% or more of the partnership.

The Partner detailed in this section is required to provide the 'Partner Identification Documents' stated on page 15 and will be verified for AML/CTF purposes.

Title

Given name(s)

Surname

Date of birth (DD/MM/YYYY)

Street number & name

Suburb

State

Postcode

Country

Country of birth

5. C) Regulation information

Is the Partnership regulated by a Professional Association?

Yes No

Association's name (in full)

Association's website address (if any)

Partnership's membership number / reference

If no, you are required (under AML/CTF legislation) to provide the full name and residential address of every other Partner in the Partnership.

Partner 2:

Title Given name(s) Surname

Street number & name

Suburb State Postcode

Country Country of birth

Partner 3:

Title Given name(s) Surname

Street number & name

Suburb State Postcode

Country Country of birth

Partner 4:

Title Given name(s) Surname

Street number & name

Suburb State Postcode

Country Country of birth

If there are additional Partners, please provide details as an attachment.

Identification documentation - Partnership:

The AML documentation required for the processing for Section 5 of this Application Form is outlined below.

You must attach the following **CERTIFIED** copies of documents to this Application Form.

For the partnership details in 5.A, please provide the following:

A certified copy or extract of the current partnership agreement

The Partner detailed in Section 5.B must provide **one** of the following documents:

Please indicate which **one** you are providing

An Australian driver's licence containing a photograph of the person

An Australian passport

An identification card issued by a state or territory that contains the date of birth and a photograph of the card holder

A foreign government issued passport or similar travel document containing a photograph and signature of the person

5. D) Beneficial Ownership Identification Documentation - Partnerships

The 'Anti-Money Laundering and Counter Terrorism Financing (AML/CTF)' legislation obliges us to collect identification documents and other supporting information from the beneficial owners of the partnership - refer to AML/CTF in Section 9 of the Additional Information Booklet that forms part of the PDS. The beneficial owners of the partnership are all individuals who own or control (directly or indirect) 25% or more of the partnership.

The AML documentation required for the processing for Section 5 of this Application Form is outlined below.

You must attach the following **CERTIFIED** copies of documents to this Application Form.

Please provide documents from Option 1 OR Option 2a and 2b.

Option 1:

Please indicate which **one** you are providing:

An Australian driver's licence containing a photograph of the person

An Australian passport

An identification card issued by a state or territory that contains the date of birth and a photograph of the card holder

A foreign government issued passport or similar travel document containing a photograph and signature of the person

NB: If you cannot provide a document listed above, please provide a document from Option 2a and 2b below.

Option 2a:

Please indicate which **one** you are providing:

An Australian birth certificate

An Australian citizenship certificate

A pension card issued by Centrelink

A foreign drivers' licence that contains a photograph of the person

AND

Option 2b:

Please indicate which **one** you are providing:

A notice issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits and contains the individual's name and residential address

A notice issued by the Australian Taxation Office within the preceding 12 months that records a debt payable to or by the person by or to the Commonwealth

A notice issued by local government body or utilities provider within the preceding 3 months that records the provision of services to that address or to that person (the notice must contain the individual's name and residential address).

A National Identity Card issued by a foreign government that contains a photograph signature.

6. ALL APPLICANTS / INVESTORS

ALL INVESTORS MUST COMPLETE THIS SECTION - ADVISER CONTACT DETAILS CANNOT BE ACCEPTED.

6. A) Contact details

Title Given name(s) Surname

Street number & name or PO Box

Suburb State Postcode

Country Email address

Mobile Phone number (home)

The Corporations Act requires that we provide certain information (for example, periodic statements) directly to you (the "investor").

6. B) Investment details

A minimum initial investment of **\$10,000** and minimum subsequent investment of **\$5,000** applies to the Airlie Australian Share Fund. If you choose to participate in a Regular Monthly Investment Plan, a minimum Regular Monthly Investment of **\$200** applies.

Please indicate the amount you wish to invest.

Initial Investment Amount

\$, .

Regular Monthly Investment Plan

\$, .

What is the purpose of investment? (select all applicable options)

Savings Growth Income Retirement Business Account

Other (specify)

Detail the source of your investment amount (select all applicable options)

Savings Growth Income Retirement Business Account

Other (specify)

Please indicate how your investment amount will be made:

Cheque (made payable to: Magellan Asset Management Ltd ATF Airlie Australian Share Fund)

Direct Debit (Australian bank accounts only)

EFT / Direct Deposit (please refer to table on page 17)

The bank account details for the direct deposit option are as follows:

Fund:	Bank:	Branch:	Account Name:	BSB:	Account Number:
Airlie Australian Share Fund	Australia and New Zealand Banking Corporation	115 Pitt St, Sydney, NSW 2000	Magellan Asset Management Ltd ATF Airlie Australian Share Fund	012-003	837-601-262

Please note: Units in Airlie Australian Share Fund will be issued following receipt of a valid Application Form, Investor Identification documents and cleared funds.

6. C) Politically Exposed Persons

A "politically exposed person" (PEP) is an individual who holds a prominent public position or function in a government body or international organisation, both within and outside Australia. This definition also extends to their immediate family members or close associates.

Please provide the name of anyone that is named in this Application Form as a PEP or is an immediate family member or close associate of a PEP.

6. D) Distribution Re-Investment

Please indicate how you would like to receive fund distributions

Reinvest in additional units in the Fund

Paid in cash to my/our account (Please provide your financial institution account details in Part 6.E).

If no election is made distributions will be paid in cash. Your distribution election will apply to your entire unitholding in the Fund and cannot apply to only part of your holding. The Responsible Entity may suspend or discontinue distribution re-investment in its discretion.

6. E) Financial Institution Account details (Mandatory)

Investor must provide account details for the credit of withdrawals, credit of distributions (if nominated in Part 6.D), direct debit of initial or subsequent applications amounts (if nominated in Part 6.B) or regular investment plan amounts (if nominated in Part 6.B).

If you have chosen to invest via Direct Debit, this debit or charge will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated below and will be subject to the terms and conditions of the Direct Debit Request Service Agreement.

Account Details

Name of Financial Institution

Branch

Australian

New Zealand

Address of Financial Institution

SWIFT code
(New Zealand bank accounts only)

BSB number

Account number

Account name

Signature

Date

Signature

Date

By signing this Direct Debit Request, you authorise and are providing a valid instruction to Magellan Asset Management Limited (user ID: 526477), in respect to your investment amount, to debit the account described above, any amount which it may debit or charge through the direct debit system in connection with your Application Form. Also by signing, you certify that you have understood and agreed to the terms governing the direct debit arrangements between you and Magellan Asset Management Limited. Please refer to the Direct Debit Request Service Agreement provided in Section 9 of the Additional Information Booklet, which can be found on the Airlie Australian Share Fund's website - www.airlieaustraliansharefund.com.au.

6. F) Investor communications

Investor correspondence

We will periodically send you transaction confirmations, statements and other material. Please indicate (by ticking one box) your preference for receiving these communications, noting that some communications may only be able to be distributed by mail. Please ensure you have supplied your email address and postal address in Section 6.A.

Email **Mail**

Online access

When you invest in Airlie Australian Share Fund, you will be sent a Welcome Letter from our Unit Registry Office (Link Fund Solutions) explaining how to obtain access to view details of your investment online (including periodic and tax statements).

Annual Financial Report

The Annual Financial Report for the Funds will be made available at our website: www.airlieaustraliansharefund.com.au by 30 September each year. Please indicate whether you would like to receive a printed copy of the Annual Financial Report.

Yes **No**

If no elections are made in Section 6F, all communications will be emailed and Annual Financial Reports will be available on our website.

6. G) Adviser & Dealer Group details

Adviser name

Dealer Group name

AFSL number

Adviser address – Street number & name (or PO Box)

Suburb

State

Postcode

Email address

Phone

The Corporations Act requires that we provide certain information (for example, periodic statements) directly to you (the “investor”). This will be issued based on your correspondence preference, however a copy will also be issued to your adviser nominated above via email.

My client’s investor identification documentation is:

Not attached

I declare that I have completed the AML/CTF identification and verification for this applicant as required by the AML/CTF Act and AML/CTF Rules and I am satisfied that the identity of the applicant is as stated on this Application Form. I have retained a copy of the identification documents obtained and a record of the procedure undertaken to verify the identity of the applicant and I agree to provide a copy of this information upon request to support this declaration.

Attached

Please provide with this Application Form **CERTIFIED COPIES** of the identification documentation specified in the AML section under your relevant investor type.

Adviser stamp

Adviser signature

6. H) Declaration and signatures

I/we declare and agree that:

- All details in this Application Form are true and correct;
- I/we have received, read and understood the current PDS (dated as per Page 1) for the Airlie Australian Share Fund ('Fund') to which my/our application relates and agree to be bound by the terms of the current PDS (dated as per Page 1) and by the constitution of the Fund;
- I/we understand that this Application Form does not form part of the PDS;
- I/we am/are an individual over 18 years of age, or I am a duly incorporated body;
- If this Application Form is signed under Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this Application Form);
- If signing on behalf of a company as a sole signatory, that I am signing as a sole director and sole secretary of the company; and
- If investing as trustee, on behalf of a superannuation fund or trust, that I/we am/are acting in accordance with my/our designated powers and authority under the applicable trust deed. In the case of a superannuation fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Superannuation) Act 1993.

I/we acknowledge that:

- Neither the Responsible Entity, its related bodies corporate or associates nor any other person guarantees the repayment of capital or the performance of the Fund or any particular rate of return from the Fund;
- Unit holdings are subject to investment risks, including loss of income and principal invested and possible delays in repayment;
- The Responsible Entity is authorised to apply the TFN or ABN provided and it will be applied to all future applications for Units, including reinvestments, unless I/we advise the Responsible Entity otherwise;
- The Responsible Entity reserves the right to not accept any Application Form in its absolute discretion;
- If my/our Application Form is incomplete or monies are dishonoured, the Responsible Entity will not process my/our Application Form and will notify me/us. I acknowledge that a completed Application Form comprises a valid Application Form, Investor Identification Documentation and cleared funds in the Airlie Australian Share Fund Bank Account;
- I/we have read the information on privacy and personal information contained in the PDS and consent to my/our personal information being collected, used and disclosed in accordance with the PDS and the Responsible Entity's Policy;
- Application monies will be held in a bank account until invested in the Fund or returned to me/us. Any interest paid on that account will be paid to the Responsible Entity and not to applicants regardless of whether their Application Form is not successful;
- Investments in the Fund are subject to investment risk, including possible delays in repayment and loss of income and capital invested. None of the Responsible Entity or related bodies corporate, affiliates, associates or officers of any of the above entities guarantees any particular rate of return or the performance of the Fund, nor do they guarantee repayment of capital from the Fund; and
- Investments in the Funds are not deposits with or other liabilities of the Responsible Entity or related bodies corporate, affiliates, associates or officers of any of the above entities.

I/we warrant that:

- I/we will comply and will continue to comply with applicable anti-money laundering and counter-terrorism financing laws and regulations, including but not limited to the law and regulations of Australia in force from time to time (AML/CTF Law); and
- I/we am/are not aware and have no reason to suspect that the moneys used to fund my/our investment have been or will be derived from or related to any money laundering, terrorism financing or similar activities illegal under applicable laws or regulations ('illegal activity'); or that the proceeds of my/our investment in a Fund will be used to finance any illegal activities.

6. I) Declaration and signatures (continued)

- I/we will provide the Responsible Entity with all additional information and assistance the Responsible Entity may request in order for it to comply with any AML/CTF Law;
- I/we am/are not a 'politically exposed' person or organisation for the purposes of any AML/CTF Law;
- I/we have provided the Responsible Entity with a CRS Self Certification form (where required);
- I/we will advise the Responsible Entity of any change in my/our tax residency and will provide a suitably updated CRS Self Certification to reflect this change; and
- **I/we will hold units on behalf of US taxpayer Yes No (please tick appropriate box). If the 'Yes' box is ticked, then I/we understand the US tax consequences of such an investment. I/we agree to provide the Responsible Entity with such additional tax information as it may from time to time request.**

Name of Investor 1:

Name of Investor 2 (If Joint Investors, both MUST sign)

Signature of Investor 1

Signature of Investor 2

Title of Signatory: eg Director, Trustee, Power of Attorney

Title of Signatory: eg Director, Trustee, Power of Attorney

Date

Date

Number of signatories required to instruct on this investment:

1 2 Other please specify:

If there are more than 2 signatories please include an attached list of names and signatures.

Where do I send my Application Form?

Completed Application Forms, cheques (where applicable) and identification documentation (where applicable) should be mailed to:



Link Fund Solutions - Airlie Australian Share Fund
PO Box 3753
Rhodes NSW 2138
AUSTRALIA

Application checklist

Use the below checklist to ensure you have provided us with a complete Application Form:

- Completed **ALL** relevant sections of the Application Form (according to your Investor Type – outlined on page 1)
- Completed Section 6 of the Application Form
- Read the Product Disclosure Statement
- Enclosed the certified identification documentation (depending on your Investor Type)
- CRS Self Certification form(s) completed (where required).

Further assistance or information

If you require assistance with completing the Application Form, please call Link Fund Solutions on:



1800 236 994 (Australia) or +61 1800 236 994 (outside Australia)

Further information regarding the Fund can be accessed on the fund's website: www.airlieaustraliasharefund.com.au